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CONFIRMATION NO. 9978

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|--|---|--|---------------------------------|--|---------------------------|--------------------------------|
| SERIAL NUMBER 09/864,015 | FILING or 371(c) DATE 05/23/2001 RULE | CLASS 705 | GROUP ART UNIT 3693 | ATTORNEY DOCKET NO. CHA920010005US1 | | |
| APPLICANTS Charles P. Tresser, New York, NY; Daniel C. Sturman, Englewood Cliffs, NJ; ** CONTINUING DATA ***** ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 07/23/2001 | | | | | | |
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and Acknowledged <u>/JOCELYN GREIMEL/</u> Examiner's Signature | | <input type="checkbox"/> Met after Allowance Initials | STATE OR COUNTRY NY | SHEETS DRAWINGS 5 | TOTAL CLAIMS 34 | INDEPENDENT CLAIMS 5 |
| ADDRESS HOFFMAN WARNICK & D'ALESSANDRO, LLC 75 STATE STREET 14TH FLOOR ALBANY, NY 12207 UNITED STATES | | | | | | |
| TITLE Fair and scalable trading system and method | | | | | | |
| FILING FEE RECEIVED 1122 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | | <input type="checkbox"/> All Fees | | |
| | | | | <input type="checkbox"/> 1.16 Fees (Filing) | | |
| | | | | <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) | | |
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